

FORM – 2

(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on:30-09-2015

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART-A

Name of Fund Life Fund

*Rs Crore*

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
	9.10% STERLITE BS 05-04-2023	ECOS	4.92	05/04/2014	CRISIL	AA+	AA	07/08/2015	NIL
	9.24% STERLITE BS 06-12-2022	ECOS	4.97	06/12/2013	CRISIL	AA+	AA	07/08/2015	NIL
	9.40% STERLITE DB 25-10-2022	ECOS	5.02	25/10/2012	CRISIL	AA+	AA	07/08/2015	NIL
	9.55% HINDALCO IND LTD DB 25-04-2022	OLDB	3.46	25/04/2012	CRISIL	AA	AA-	03/08/2015	NIL
	9.55% HINDALCO IND LTD DB 27-06-2022	OLDB	30.33	27/12/2012	CRISIL	AA	AA-	03/08/2015	NIL
	9.60% HINDALCO IND LTD BS 02-08-2022	OLDB	7.50	02/08/2013	CRISIL	AA	AA-	03/08/2015	NIL
B.	<u>As on Date</u> <sup>2</sup>								
	9.55% HINDALCO IND LTD DB 27-06-2022	ECOS	29.25	24/03/2014	CRISIL	AA+	AA	20/06/2014	NIL
	9.55% HINDALCO IND LTD DB 25-04-2022	ECOS	1.17	29/04/2014	CRISIL	AA+	AA	20/06/2014	NIL
	9.60% HINDALCO IND LTD BS 02-08-2022	ECOS	7.50	16/05/2014	CRISIL	AA+	AA	20/06/2014	NIL

**CERTIFICATION**

*Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.*

Date:04/11/2015

Signature \_\_\_\_\_

Full Name and Designation : MR.G.VAIDYANATHAN  
Chief Finance Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.*
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.*
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.*
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04*

FORM – 2

(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on:30-09-2015

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART-A

Name of Fund Pension & Annuity Fund

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
	9.10% STERLITE BS 05-04-2023	ECOS	1.97	05/04/2014	CRISIL	AA+	AA	07/08/2015	NIL
B.	<u>As on Date</u> <sup>2</sup>								
	9.55% HINDALCO IND LTD DB 27-06-2022	ECOS	1.09	24/03/2014	CRISIL	AA+	AA	20/06/2014	NIL

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Date:04/11/2015

Signature \_\_\_\_\_

Full Name and Designation : MR.G.VAIDYANATHAN  
Chief Finance Officer

Note:

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Registration Number: 128

Statement as on:30-09-2015

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART-A

Name of Fund ULIP Fund

*Rs Crore*

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter <sup>1</sup></u>								
	9.40% STERLITE DB 25-10-2022	ECOS	4.93	25/10/2012	CRISIL	AA+	AA	07/08/2015	NIL
	9.55% HINDALCO IND LTD DB 25-04-2022	OLDB	4.91	25/04/2015	CRISIL	AA	AA-	03/08/2015	NIL
	9.55% HINDALCO IND LTD DB 27-06-2022	OLDB	4.91	27/06/2012		AA	AA-	03/08/2015	NIL
B.	<u>As on Date <sup>2</sup></u>								
	9.55% HINDALCO IND LTD DB 27-06-2022	ECOS	5.06	09/11/2012	CRISIL	AA+	AA	20/06/2014	NIL
	9.55% HINDALCO IND LTD DB 25-04-2022	ECOS	5.08	09/11/2012	CRISIL	AA+	AA	20/06/2014	NIL

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Signature \_\_\_\_\_

Full Name and Designation : MR.G.VAIDYANATHAN  
Chief Finance Officer

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